

SEAL ROCK WATER DISTRICT
Board of Commissioners
Regular Board Meeting
Thursday, September 9, 2021 @ 4:00 p.m.
Public Meeting by Zoom Video Conference:

SRWD will hold this meeting through Zoom video conferencing. Due to limited capacity for in person meetings the public is invited to attend this meeting electronically. Please E-mail tkarlsen@srwd.org to receive the meeting login information. SRWD encourages the public to submit written comments on items included in the agenda by email to tkarlsen@srwd.org by 2:00 p.m. on the day of the meeting to be included as public testimony. Comments received will be shared with the SRWD Board of Commissioners and included in the permanent record.

- **Call Regular Meeting to Order:**

- **Announcements/Visitor Public Comments:**

Public comment period provides the public with an opportunity to address the Commissioners regarding items on the agenda. Please limit comments to (3) minutes.

- **Consent Calendar:**

Managers' reports included under consent calendar are an executive summary provided to Commissioners as an update of system conditions, projects, and programs. Management welcomes your feedback and request for more detailed information regarding any item before or during the meeting:

- Invoice List August 2021 to September 2021
- Board Meeting Minutes August 12, 2021
- Financial Report / Approve Invoices August 2021 to September 2021
- Business Oregon Disbursement Request No. 35 September 2021
- USDA PMR Phase IV No. 15 September 2021
- Contractor's Pay Request No. 15 September 2021
- General Manager's Monthly Report August 2021 to September 2021

- **Discussion and Information Items:**

- Consider Primary Source Water Project Update.
Presented by: Adam Denlinger, General Manager
Jeff Hollen, SRWD General Counsel

- **Decision Items:** None.

- **Reports, Comments and Correspondence:**

- Community Cluster Box Progress Report.
- Personnel Recruitment.
- Continued COVID Personnel Protocols.
- Auditor Engagement Letter
- Umpqua Bank Signature Card

- **Executive Session: according to ORS 192.660(2), Concerning:**

The SRWD Board will meet in Executive Session, pursuant to ORS 192.660(2)(h); To consult with legal counsel concerning the legal rights and duties of a public body with regards to current litigation or litigation likely to be filed. Representatives of the news media and designated staff shall be allowed to attend the executive session. All other members of the audience are asked to leave the room. Representatives of the news media are specifically directed not to report on any of the deliberations. No final decisions shall be made in Executive Session.

- **Adjournment:** Next Meeting: **October 14, 2021 @ 4:00 p.m.** Regular Board Meeting or establish date.

Report Criteria:

Detail report.
Invoices with totals above \$0 included.
Paid and unpaid invoices included.

ORIGINAL

Vendor Name	Invoice Number	Description	Invoice Date	Net Invoice Amount
01-1310				
DAVID &/OR CINDY WILK	081721	Refund Overpayment Final Bill	08/17/2021	10.02
STEPHEN WILSON	082421	Refund Overpayment Final Bill	08/24/2021	15.48
Total 01-1310:				25.50
01-5204				
SEAL ROCK WATER DISTRICT	081621	Mid-Coast Water Conservation Consortium District Share (IGA) Year 1	08/16/2021	3,200.00
Total 01-5204:				3,200.00
01-5271				
CHARTER COMMUNICATIONS	007859708192	Internet (Office)	08/19/2021	124.98
Total 01-5271:				124.98
01-5280				
XEROX CORPORATION	014135302	Xerox 3655X Print Charges	08/05/2021	14.32
Total 01-5280:				14.32
01-5290				
STAPLES BUSINESS ADVANTA	8063169404	TRU RED "8.5 x 11" Copy Paer, 20lbs., 92 Brightness, 500/Sheets/Ream, 5 Ream	08/07/2021	79.96
STAPLES BUSINESS ADVANTA	8063169404	Dell XYP2P Black Toner Cartridge	08/07/2021	30.06
STAPLES BUSINESS ADVANTA	8063169404	Dell MHT79 Magenta Toner Cartridge	08/07/2021	43.64
STAPLES BUSINESS ADVANTA	8063169404	OIC Heavy-Gauge Premium Prong Fasteners, Complete 2-pc Set, 2" Capacity, 50/	08/07/2021	8.66
STAPLES BUSINESS ADVANTA	8063169404	Brother M Series Labeler Tape Black 1/2"	08/07/2021	12.82
STAPLES BUSINESS ADVANTA	8063243148	Staples 50% Recycled Multipurpose Paper 8 1/2 x 11 1 ream	08/14/2021	388.20
Total 01-5290:				563.34
01-5291				
US POSTAL SERVICE - SEAL R	082021	10 rolls stamps (forever .55 cents)	08/20/2021	550.00
US POSTAL SERVICE - WALDP	082021	Bulk Mailing	08/20/2021	867.22
Total 01-5291:				1,417.22
01-5610				
CENTRAL LINCOLN P.U.D.	082021	Utility Services	08/20/2021	1,530.90
Total 01-5610:				1,530.90
01-5634				
ANALYTICAL LABORATORY GR	140623	Coliform, Presence/Absence by SM 9223 B-18 (ALG) 8/11/21	08/13/2021	274.00
Total 01-5634:				274.00
Grand Totals:				7,150.26

ORIGINAL

Report Criteria:

- Detail report.
- Invoices with totals above \$0 included.
- Paid and unpaid invoices included.

Vendor Name	Invoice Number	Description	Invoice Date	Net Invoice Amount
01-1310				
ANDREA KRAFT	083021	Refund Overpayment Final Bill	08/30/2021	4.13
Total 01-1310:				4.13
01-5271				
CENTURYLINK	082521	Toledo Pump Station SCADA	08/25/2021	70.95
PIONEER CONNECT TELEPHO	090121	Telephone Services/Internet	09/01/2021	1,667.16
Total 01-5271:				1,738.11
01-5272				
AT&T MOBILITY	08232021	Wireless	08/15/2021	257.20
Total 01-5272:				257.20
01-5630				
ABOVEBOARD ELECTRIC, INC.	2747	Shop: Installed 2 new 8' LED fixtures & retrofit 2 existing 8' fixtures to LED & install	08/25/2021	3,094.54
Total 01-5630:				3,094.54
Grand Totals:				5,093.98 ✓

Dated: Auto 31, 2021

General Manager: A. D. Smith

Dated: _____

Treasurer: _____

[Handwritten signature]

**SEAL ROCK WATER DISTRICT
MINUTES OF THE
Regular Board Meeting
by Zoom Conference Call and In Person
August 12, 2021**

Introduction to Remote Meeting:

Denlinger, General Manager, explained that this board meeting is being conducted remotely and in person. Due to limited capacity for in person meetings, the public is invited to attend this meeting electronically. He further explained that the Board President will call each name to confirm those who are present by zoom conference. After each person hears their name, they need to reply. For each decision item in the agenda that needs to be approved, after discussion and deliberation, the Board President will call each name of the commissioner for their vote. After the commissioners hear their name, he or she will give the vote to the affirmative by saying YES or negative by saying NO.

Call Regular Meeting to Order:

President Rob Mills called the regular board meeting to order at 4:04 p.m., Thursday, August 12, 2021.

Present:

President Rob Mills confirmed that all commissioners present can hear each other by doing a roll call. Present by Zoom Conference Call were Commissioner Karen Otta, Treasurer; Commissioner Saundra Mies-Grantham, Secretary; Commissioner Glen Morris, member; and Attorney Jeff Hollen, Legal Counsel. Present in person in the Board room were President Rob Mills, Board President; Commissioner Paul Highfill, member; Staff: Adam Denlinger, General Manager; Joy King, Office Manager.

Excused Absences: None

Announcements/Public Comments:

President Rob Mills asked if there are any announcements. Commissioner Karen Otta announced that she is not sure when she will be back in the U.S.; Commissioner Glen Morris announced he will not be able to attend the September board meeting; Commissioner Saundra Mies-Grantham had no announcement; Commissioner Paul Highfill had no announcement. Adam Denlinger had no announcement. Joy King had no announcement.

Public Comments: None

Consent Calendar:

Items on the consent calendar are July/Aug 2021 Invoices List; July 8, 2021 Regular Board Meeting Minutes; July/Aug 2021 Financial Report/Invoices List for approval; Business Oregon IFA Disbursement Request No. 34; USDA Phase IV PMR No. 14; Contractor's Pay Request No. 14; and General Manager's Report. President Rob Mills asked if each commissioner has reviewed the items on the consent calendar. Commissioner Karen Otta answered YES; Commissioner Glen Morris answered YES; Commissioner Saundra Mies-Grantham answered YES; Commissioner Paul Highfill answered YES; and President Rob Mills answered YES. Commissioner Glen Morris motioned to approve the consent calendar. Commissioner Saundra Mies-Grantham seconded the motion. President Rob Mills asked the commissioners for their votes. Commissioner Karen Otta abstained from voting; Commissioner Paul Highfill voted YES; Commissioner Glen Morris voted YES; Commissioner Saundra Mies-Grantham voted YES; and President Rob Mills voted YES. Motion passed with 4 YES votes, and 1 abstained from voting.

Discussion and Information Items:

Source Water Project Update:

The contractor has completed the block walls and the metal roof of the membrane building and the interior is being painted. The construction of the Beaver Creek Pump Station and the backwash basins are completed. The subcontractor of the clearwell started coating the outside of the structure. The project schedule was discussed. The substantial completion date is August 26 but the contractor will not be able to meet that.

Decision Items:

The District received notification that the Seal Rock Post Office will permanently close on October 31, 2021. District staff has been working with the Walport Post Office to work with the customers living in the vicinity of the SRWD Facility to install cluster boxes by the district shop facility on Grebe Street. The district was given permission to have a business mailbox separate from the cluster boxes for the customers who live in the vicinity. The cost of the cluster boxes will be divided among the users. The District will provide the cement pad and the field crew will install the cluster boxes. There was a discussion about the liability of the district if the cluster boxes are installed on district property. It was the consensus of the board for staff to find out if the district has any liability if the cluster boxes are installed on district property. If there is no liability then the board authorizes the installation of the cluster boxes on the area adjacent to the shop facility on Grebe Street.

66 **MC-WPP Proposal for Phase 2 Community Engagement:**

67 The district serves as the lead agency of MC-WPP and the GM as the convener presented to the board for approval of the
68 Mid-Coast Water Planning Partnership Proposal for Phase 2 Community Engagement with Oregon's Kitchen Table. Their
69 services include soliciting input and feedback from residents of Mid-Coast communities regarding the actions the
70 Partnership has identified to meet current and future water needs of the Mid-Coast region. The cost for their services is
71 \$15,000 which will be funded by grants from OWRD and other agencies. The district has no obligation after the project
72 report is completed. Commissioner Paul Highfill motioned to authorize the general manager to execute the proposal
73 submitted by Oregon's Kitchen Table. Commissioner Sandra Mies-Grantham seconded the motion. President Rob Mills
74 asked the commissioners for their votes. Commissioner Karen Otta abstained from voting; Commissioner Paul Highfill
75 voted YES; Commissioner Glen Morris voted YES; Commissioner Sandra Mies-Grantham voted YES; and President Rob
76 Mills voted YES. Motion passed with 4 YES votes, and 1 abstained from voting.
77

78 **Reports, Comments, and Correspondence:**

79 **Drought:**

80 On July 21, the Governor issued a Drought Declaration in the state including Lincoln County. The streamflow gauges of the
81 Siletz River are alarming. Recently, the district issued a Stage 2 Water Curtailment Advisory. If the streamflow of the Siletz
82 River continues to go down, OWRD and MCWPP are looking to issue a stage 3 water curtailment advisory. This includes
83 no use of irrigation, no washing of vehicles, no filling up of swimming pools, spas, and hot tubs. The district will not do line
84 flushing and will advise the fire department not use water for fire training. The district does not have the manpower to
85 enforce the curtailment but can educate the public to limit and conserve water.

86 **Wearing Mask:**

87 The Governor issued a declaration to wear masks indoor and outdoor regardless of vaccination status. Covid infection in
88 Lincoln County is high. In order to protect the staff, the front lobby is closed to the public and open only by appointment.
89 The Federal and State government have mandated the vaccination of their employees. The vaccination of the district
90 employees was discussed. Staff will inquire from BOLI and SDAO if the district has the authority to mandate the vaccination
91 of the employees. Periodic covid swab testing of the employees was also discussed. It was the consensus of the board for
92 the employees to be periodically tested and the cost of the testing will be covered by the district.

93 **Recruitment:**

94 The district has advertised for two open positions – Water Distribution Operator 1 and Water Treatment Operator, locally
95 and nationally. As of August 12, the district has only received one applicant for each position. The competitiveness of the
96 salary was discussed. The district needs to have a salary survey/study done in the near future since the last time a
97 consultant was hired to do the salary survey/study was in 1991. It was discussed that the whole county is experiencing
98 difficulty in recruiting employees. There is a need for the district to hire a water treatment plant operator before the start up
99 of the water treatment plant to be part of the training that will be provided by Westech. The district might need the help of
100 Jacobs Engineering to recruit a water treatment plant operator.
101

102 **Executive Session per ORS 192.660(2)(h):**

103 President Rob Mills recessed the regular board meeting at 5:25 p.m. to meet in Executive Session, pursuant to ORS
104 192.660(2)(h); To consult with legal counsel concerning the legal rights and duties of a public body with regards to current
105 litigation or litigation likely to be filed. Representatives of the news media and designated staff shall be allowed to attend the
106 executive session. All other members of the audience are asked to leave the room. Representatives of the news media are
107 specifically directed not to report on any of the deliberations. No final decisions shall be made in Executive Session.
108

109 **Reconvened Regular Board Meeting:**

110 President Rob Mills adjourned the executive session at 5:59 p.m. and reconvened the regular board meeting.
111 It was motioned by Commissioner Glen Morris to authorize the GM, Adam Denlinger to engage and retain a consultant
112 whose professional service is needed to review the source water project contract that the district is currently engaged in.
113 And the GM is authorized to spend up to \$20,000 for the professional service of the consultant. Commissioner Sandra
114 Mies-Grantham seconded the motion. President Rob Mills asked the commissioners for their votes. Commissioner Karen
115 Otta abstained from voting; Commissioner Paul Highfill voted YES; Commissioner Glen Morris voted YES; Commissioner
116 Sandra Mies-Grantham voted YES; and President Rob Mills voted YES. Motion passed with 4 YES votes, and 1
117 abstained from voting.
118

119 **Adjournment:** President Rob Mills adjourned the meeting at 6:00 p.m.


120
121 **Next Board Meeting:** September 9, 2021, at 4:00 p.m. Regular Board Meeting.
122
123
124
125

126 Approved by Board President

Date:

SRWD Monthly Financial Report

Monthly Statistics		Comments			
Total customers	2637	Includes new connects Less Abandoned / Forfeited meter plus 3 SRWD meters (shop X 2 & office) plus 1 Hydrant meter			
New connections	2				
Reinstalls	0				
Abandonments/Forfeitures/Meter Removed	0				
Financial Report	Checking/MM	LGIP/PFMMA	Fund Balances	Comments	
General	\$488,681.40	\$17,487.07	\$506,168.47		
Bond	\$353,158.44	\$0.00	\$353,158.44		
Capital Projects	\$294,261.31	\$544,182.16	\$838,443.47	\$7,421,586 Interim Loan Proceeds	
Revenue Bond	\$2,719.80	\$20,054.26	\$22,774.06		
Rural Development Reserve	\$0.00	\$69,247.49	\$69,247.49		
Dist. Office/Shop Reserve	\$3,614.27	\$135,381.53	\$138,995.80		
Depreciation/SLARA Reserve	.	\$254,118.94	\$254,118.94		
SDC (formerly SIP)	\$0.00	\$598,718.24	\$598,718.24	\$1,138,999.50 SDC collections thru 8/31/2021	
Water Source Improvement Rsrv	\$0.00	\$545,460.18	\$545,460.18		
TOTALS	\$1,142,435.22	\$2,184,649.87	\$3,327,085.09		
General Fund Review	Current	FYTD	Budgeted Amount	Comments	
Revenue	\$225,892.40	450,337.93	\$2,746,800.00		
Expenses	\$170,586.65	319,165.22	\$2,746,800.00	Contingency \$100,000; Transfers \$173550; Total expenses budgeted \$1,930,630	
Net Gain or (Loss) from Operations	\$55,305.75	\$131,172.71			
Water Sales Revenue Comparison	Month	FYTD	Comments		
Water Sales Current Year	\$213,655	\$423,096	Leak Adjustments & Billings Adjustments (YTD = July - June)		
Actual+In Lieu of Water Sales Less H2O CR	\$218,655	\$433,098	Less: Billing Adj YTD \$0.00; Leak Adj/Write off YTD -\$2.11		
Water Sales Prior Year	\$197,467	\$354,419	TOTAL YTD ADJUSTMENTS -\$2.11		
Actual+In Lieu of Water Sales Less H2O CR	\$202,070	\$364,023			
Over or (Under)	\$16,188.53	\$68,677.28			
Gallage Comparison	Current	Prior Year	Cost Comparison	Current	Prior Year
Gallons Purchased	12,612,000	12,264,000	Toledo Charges	\$70,501.54	\$45,008.90
Gallons Sold (includes accountable loss & intertie)	10,662,466	13,327,601	SRWD Sales	\$213,655.38	\$184,099.90
Variance %	15.46%	-8.67%	Ratio: Sales/Cost	3.03	4.09
City of Newport Intertie Usage	0				
Gallons from Toledo Master Meter	11,598,000	7/12-8/11/21	Toledo Master Meter Readings read by SRWD field crew		
Total Gallons Accounted	10,611,588		From flushing, leaks, CL2 Analyzer, & fire hydrant use & R&G		
Total Gallons Unaccounted	986,412				
Water Loss Percentage	8.51%				
Approval To Pay Bills	Payroll 8/13/2021 \$20,501.78		Payroll 8/27/2021 \$20,149.34		
Month of:	August	(after meeting)	September		
	GF A/P	\$12,244.24	GF A/P	\$79,908.38	up to 9/3/2021
	CPF A/P	\$0.00	CPF A/P	\$0.00	
	Bond / Rev Bond Fund	\$0.00	Bond / Rev Bond Fund	\$0.00	
	Depreciation Rsv	\$0.00	Depreciation Rsv	\$0.00	
		\$0.00	Water Rights Assistance	\$0.00	
	MP - Phase 4 (IFA)	\$0.00	MP - Phase 4 (IFA)	\$0.00	
	MP- Phase 4(USDA)	\$0.00	MP- Phase 4 (USDA)	\$496,788.48	
	MCWPP	\$0.00	MCWPP	\$6,146.25	
	MCWCC	\$0.00	MCWCC	\$0.00	
Monthly Accrual Statistics	Beg. Balance	Accrued	Used/Paid	Balance	
	7/31/2021			8/31/2021	
Office Overtime Hours (2-01)	0.00	0.00	0.00	0.00	
Field Overtime Hours (2-02)	0.00	1.50	1.50	0.00	
PTO (3-01)	2940.16	108.32	41.50	3006.98	
Comp Time (9-01 / 9-02)	96.85	11.63	15.50	92.98	

OBDD Disbursement Request										
	Recipient:		Seal Rock Water District					Project Number:		S18011
	Project Name:		Water Intake, Treatment and Transmission							5741-03
	Funding Programs:		Safe Drinking Water Revolving Loan Fund					Request Number:		35
								Final Draw?		<input type="radio"/> Yes <input checked="" type="radio"/> No
Reporting Period:		August 1	to	August 31, 2021						

(A)	OBDD Funds (Enter Whole Dollars Only)				Other / Matching Funds (Enter Whole Dollars Only)				All Funds
	(B)	(C)	(D)	(E) = [B-C-D]	(F)	(G)	(H)	(I) = [F-G-H]	(J) = [C+D+G+H]
Activity	Approved Budget	Prior Disbursements	Current Request	Balance	Approved Budget	Prior Expenditures	Current Expenditure	Balance	Disbursed & Expended
Design / Engineering/Water Quality	\$1,341,373	\$1,207,772		\$133,601					\$1,207,772
Construction	1,951,000	1,951,000			8,702,971	4,990,199	418,814	3,293,958	7,360,013
Labor Standards	15,000	11,250		3,750					11,250
Pre-award: Consultant, Legal	95,014	95,014							95,014
Project Management	15,000	15,000							15,000
Land, Easements, ROW					477,913	477,208	705		477,913
Geotechnical Eval/Environmental	26,675	26,675			36,348	24,302	12,046		63,023
Permitting and Regulatory Fees					216,940	216,940			216,940
Consultant/Legal/Admin	36,938	36,938			158,943	118,166		40,777	155,104
Interim Loan/Refinancing					1,570,914	1,319,324	59,268	192,322	1,378,592
Engineering-Construction Mgmt					1,101,000	842,261		258,739	842,261
Tree Clearing					13,785	13,785			13,785
Contingency					310,557			310,557	
Const. - Electrical Testing.PRV					249,444	243,489	5,955		249,444
Construction-Membrane					858,685	678,948		179,737	678,948
Total	\$3,481,000	\$3,343,649		\$137,351	\$13,697,500	\$8,924,622	\$496,788	\$4,276,090	\$12,765,059

Certification: We certify that the data are correct and that the amount requested is not in excess of current needs.				For OBDD Use Only: I have reviewed this request and approve payment to the above mentioned recipient in the amount(s) listed below.			
		General Manager		9/13/2021			
Authorized Signature & Title				Date			
		Office Manager		9/13/2021			
Authorized Signature & Title				Date			
Joy S King		541-563-3599					
Project Contact for Payment Notification		Phone Number					
jking@srwd.org / info@srwd.org							
E-Mail Address							
				Contract Administrator Signature		Date	
				Manager Signature		Date	

#VALUE!

c:\msoffice\excel\guide21			OR Instruction 1780						
BEAVER CREEK SOURCE WATER PROJECT-Phase IV					Modified OR Guide 21				
PROJECT MONITORING REPORT		1. Type of Request		2. Report No.					
		Final <input type="checkbox"/> Partial <input checked="" type="checkbox"/>		14					
				updated 7-17-2020					
3. REPORT PERIOD		4. BORROWER INFORMATION							
Ending 08/31/2021		Name: Seal Rock Water District							
		Address: 1037 NW Grebe Street, Seal Rock, OR 97376							
BUDGET ITEMS			STATUS OF BUDGET						
(All entries under Column "b" must be justified with an attachment) (All entries under column "e" must be documented with an attached invoice)			(a)	(b)	(c)	(d)	(e)	(f)	(g)
			Budgeted Amounts (from LOC)	Budget Change	Revised Budget	Previous Total	This Period	TOTAL (d)+(e)	Remaining Balance (c)-(f)
a. Engineering- Design			\$947,000	\$91,000	\$1,038,000	\$1,037,163		\$1,037,163	\$837
b. Engineering- Membrane Pre-purchase			\$35,000	\$2,990	\$37,990	\$37,990		\$37,990	\$0
c. Engineering- Bid Services			\$45,000	\$0	\$45,000	\$44,997		\$44,997	\$3
d. Engineering- Basic Engineering			\$380,000	\$27,800	\$407,800	\$407,800		\$407,800	\$0
e. Engineering- Project Inspection			\$0	\$403,200	\$403,200	\$243,429		\$243,429	\$159,771
f. Engineering- Start Up			\$20,000	\$10,000	\$30,000	\$0		\$0	\$30,000
g. Engineering- Software Development			\$0	\$215,000	\$215,000	\$146,034		\$146,034	\$68,966
h. Legal Services/Land Purch. (easements)			\$400,000	\$77,208	\$477,208	\$477,208		\$477,208	\$0
i. Geotechnical Site Investigation			\$51,000	\$0	\$51,000	\$50,977		\$50,977	\$23
j. Surveying			\$26,000	\$3,962	\$29,962	\$29,962		\$29,962	\$0
k. Permitting			\$170,000	\$47,645	\$217,645	\$216,940	\$705	\$217,645	\$0
l. Archeological/Environmental Mitigation			\$40,000	\$0	\$40,000	\$0	\$12,046	\$12,046	\$27,954
m. Bond counsel Services			\$80,000	-\$32,500	\$47,500	\$42,500		\$42,500	\$5,000
n. Interim Interest & Expense			\$360,000	\$0	\$360,000	\$109,410	\$59,268	\$168,678	\$191,322
o. Admin/Legal			\$12,000	\$33,704	\$45,704	\$45,704		\$45,704	\$0
p. Line of Credit Refinance (COT expenses)			\$1,616,500	-\$429,500	\$1,187,000	\$1,187,000		\$1,187,000	\$0
q. Line of Credit Redinance- Interest			\$0	\$23,914	\$23,914	\$22,914		\$22,914	\$1,000
r. Contingency			\$1,306,000	-\$990,256	\$315,745	\$0		\$0	\$315,745
s. Additional IFA Services			\$0	\$368,558	\$368,558	\$328,811		\$328,811	\$39,747
t. Tree Clearing			\$0	\$13,785	\$13,785	\$13,785		\$13,785	\$0
u. Tank Removal			\$0	\$18,752	\$18,752	\$18,752		\$18,752	\$0
v. Construction Costs:						\$0			
1. Contractor R&G			\$8,966,000	\$1,687,971	\$10,653,971	\$6,841,821	\$418,814	\$7,260,634	\$3,393,337
2. Westech- Membrane Purchase			\$922,000	-\$23,315	\$898,685	\$718,948		\$718,948	\$179,737
3. Other - Electrical at Intake/WTP.			\$0	\$202,422	\$202,422	\$202,422		\$202,422	\$0
4. Other-Compaction Test			\$0	\$44,450	\$44,450	\$38,494	\$5,956	\$44,450	\$0
5. Other- 98th St. PRV Calibration				\$5,210	\$5,210	\$5,210		\$5,210	\$0
x. TOTAL PROJECT COST			\$15,376,500	\$1,802,000	\$17,178,500	\$12,268,271	\$496,788	\$12,765,059	\$4,413,441
y. Funding Allocation									
1) Business Oregon Loan/Grant			\$3,481,000	\$0	\$3,481,000	\$3,343,649	\$0	\$3,343,649	\$137,351
2) USDA Rev Bond Loan			\$2,547,000	\$0	\$2,547,000	\$2,547,000		\$2,547,000	\$0
3) USDA GO Bond Loan			\$6,549,000	\$0	\$6,549,000	\$6,075,622	\$473,378	\$6,549,000	\$0
4) USDA Grant			\$2,799,500	\$0	\$2,799,500	\$0	\$23,410	\$23,410	\$2,776,090
5) USDA Sub Grant			\$0	\$1,500,000	\$1,500,000	\$0		\$0	\$1,500,000
6) Applicant Contribution			\$0	\$302,000	\$302,000	\$302,000		\$302,000	\$0
7) Interest								\$0	\$0
z. TOTAL PROJECT FUNDING			\$15,376,500	\$1,802,000	\$17,178,500	\$12,268,271	\$496,788	\$12,765,059	\$4,413,441
aa. Percentage of Completion						71%	3%	74%	26%
5. CERTIFICATION									
<i>I certify that to the best of my knowledge and belief costs or disbursements shown are in accordance with the terms of the project and that an inspection has been performed and all work is in accordance with the terms of the construction contract.</i>									
BORROWER		Signature of Authorized Certifying Official				Date Submitted:			
		Adam Denlinger, General Manager				8/16/2021			
						Telephone:			
						(541) 563-3529			
6. RURAL DEVELOPMENT ACCEPTANCE									
<i>This form and attachments have been reviewed and are accepted by Rural Utilities Service unless otherwise noted. This review and acceptance by RUS does not attest to the correctness of the amounts, the quantities shown, or that the work has been performed under the terms of the agreements or contracts.</i>									
RURAL UTILITIES SERVICE		Signature of Authorized Certifying Official				Date Submitted:			
		Jay DeLapp, Area Loan Specialist				Telephone:			
						(541) 801-2682			



ENGINEERS JOINT CONTRACT DOCUMENTS COMMITTEE

Contractor's Application for Payment No. 15

	Application Period: 7/26/21 to 8/25/21	Application Date: 9/1/2021
To (Owner): Seal Rock Water District	From (Contractor): R&G Excavating, Inc.	Via (Engineer): Jacobs Engineering Group
Project: Phase IV Beaver Creek Water Supply Seal Rock, Oregon	Contract: Phase IV Beaver Creek Water Supply	
Owner's Contract No.: D3362301	Contractor's Project No.: 2003	Engineer's Project No.: D3362301

**Application For Payment
Change Order Summary**

Approved Change Orders		
Number	Additions	Deductions
1	\$0.00	\$0.00
2	\$3,372.86	\$0.00
3	\$0.00	\$60,524.00
4	\$9,199.49	\$0.00
5	\$0.00	\$6,849.36
6	\$0.00	\$4,972.47
7	-	-
8	\$23,744.84	\$0.00
TOTALS	\$36,317.19	\$72,345.83
NET CHANGE BY CHANGE ORDERS	-\$36,028.64	

1. ORIGINAL CONTRACT PRICE	\$ 10,690,000.00
2. Net change by Change Orders	\$ -36,028.64
3. Current Contract Price (Line 1 ± 2)	\$ 10,653,971.36
4. TOTAL COMPLETED AND STORED TO DATE (Column F total on Progress Estimates).....	\$ 7,642,772.51
5. RETAINAGE:	
a. 5% X \$ 6,634,025.63 Work Completed.....	\$ 331,701.28
b. 5% X \$ 1,008,746.88 Stored Material.....	\$ 50,437.35
c. Total Retainage (Line 5.a + Line 5.b).....	\$ 382,138.63
6. AMOUNT ELIGIBLE TO DATE (Line 4 - Line 5.c)	\$ 7,260,633.88
7. LESS PREVIOUS PAYMENTS (Line 6 from prior Application)	\$ 6,841,820.36
8. AMOUNT DUE THIS APPLICATION	\$ 418,813.52
9. BALANCE TO FINISH, PLUS RETAINAGE (Column G total on Progress Estimates + Line 5.c above).....	\$ 3,393,337.48

Contractor's Certification

The undersigned Contractor certifies, to the best of its knowledge, the following:

(1) All previous progress payments received from Owner on account of Work done under the Contract have been applied on account to discharge Contractor's legitimate obligations incurred in connection with the Work covered by prior Applications for Payment;

(2) Title to all Work, materials and equipment incorporated in said Work, or otherwise listed in or covered by this Application for Payment, will pass to Owner at time of payment free and clear of all Liens, security interests, and encumbrances (except such as are covered by a bond acceptable to Owner indemnifying Owner against any such Liens, security interest, or encumbrances); and

(3) All the Work covered by this Application for Payment is in accordance with the Contract Documents and is not defective.

Contractor Signature

By: Glinda Ireland, Operations Manager Date: 9/2/2021

Payment of: \$ 418,813.52
(Line 8 or other - attach explanation of the other amount)

is recommended by: 9/1/2021
Jennifer Koch, Project Engineer, Jacobs (Date)

Payment of: \$ 418,813.52
(Line 8 or other - attach explanation of the other amount)

is approved by: _____
Adam Denlinger, General Manager, Seal Rock Water District (Date)

is approved by: _____
Holly Halligan or Jay DeLapp, Loans Specialist, USDA-RD (Date)

SRWD Phase IV Beaver Creek Water Supply, Seal Rock, Oregon
Schedule of Values

PAY PERIOD START:	7/26/2021	OWNER:	Seal Rock Water District	CONTRACTOR:	R&G Excavating, Inc.
PAY PERIOD END:	8/25/2021		1037 NW Grebe St		39300 Montgomery Drive
ORIGINAL CONTRACT AMOUNT:	\$ 10,690,000.00		Seal Rock, OR 97376		Scio, OR 97374
ADJUSTED CONTRACT AMOUNT:	\$ 10,680,682.61				

Line Item	DESCRIPTION A	ORIGINAL ESTIMATE B	PREVIOUS WORK COMPLETED C		THIS MONTH WORK COMPLETED D E F								TOTAL VALUE REMAINING G (B-F)	PAYMENT/RETAINAGE		
			LUMP SUM PRICES	Previous %	Previous Value	% This Month	This Month Value	This Month's Materials Added	Previous Stored Materials	Installed Materials	Balance of Materials Stored	Total %		Total Value	Retainage This Month	Payment This Month
General Conditions																
001	Mobilization	\$ 337,011.00	100.0%	\$ 337,011.00							100.0%	\$ 337,011.00	\$ -	\$ -	\$ -	\$ 16,850.55
002	Demobilization	\$ 75,000.00	0.0%	\$ -	0.0%						0.0%	\$ -	\$ 75,000.00	\$ -	\$ -	\$ -
003	Insurance/Bonds	\$ 80,000.00	100.0%	\$ 80,000.00							100.0%	\$ 80,000.00	\$ -	\$ -	\$ -	\$ 4,000.00
004	Permits	\$ 5,000.00	100.0%	\$ 5,000.00							100.0%	\$ 5,000.00	\$ -	\$ -	\$ -	\$ 250.00
005	Contractor's Field Office Set-Up	\$ 20,000.00	100.0%	\$ 20,000.00							100.0%	\$ 20,000.00	\$ -	\$ -	\$ -	\$ 1,000.00
006	Temporary Facilities	\$ 60,000.00	86.7%	\$ 52,000.00	3.3%	\$ 2,000.00					90.0%	\$ 54,000.00	\$ 6,000.00	\$ 100.00	\$ 1,900.00	\$ 2,700.00
007	Project Management	\$ 300,000.00	61.7%	\$ 185,000.00	0.7%	\$ 2,000.00					62.3%	\$ 187,000.00	\$ 113,000.00	\$ -	\$ 1,900.00	\$ 9,350.00
008	Progress Schedule	\$ 15,000.00	90.0%	\$ 13,500.00	3.3%	\$ 500.00					93.3%	\$ 14,000.00	\$ 1,000.00	\$ 25.00	\$ 475.00	\$ 700.00
009	Submittals	\$ 50,000.00	98.5%	\$ 49,250.00	0.0%	\$ -					98.5%	\$ 49,250.00	\$ 750.00	\$ -	\$ -	\$ 2,462.50
010	Operations & Maintenance	\$ 20,000.00	20.0%	\$ 4,000.00	0.0%	\$ -					20.0%	\$ 4,000.00	\$ 16,000.00	\$ -	\$ -	\$ 200.00
011	Equipment Testing	\$ 10,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 10,000.00	\$ -	\$ -	\$ -
012	Facility Startup	\$ 15,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 15,000.00	\$ -	\$ -	\$ -
013	Contract Closeout	\$ 5,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 5,000.00	\$ -	\$ -	\$ -
014	Electrical Mob	\$ 75,000.00	100.0%	\$ 75,000.00							100.0%	\$ 75,000.00	\$ -	\$ -	\$ -	\$ 3,750.00
015	Electrical Submittals	\$ 37,500.00	76.0%	\$ 28,500.00	0.0%	\$ -					76.0%	\$ 28,500.00	\$ 9,000.00	\$ -	\$ -	\$ 1,425.00
016	Electrical O&Ms & Closeout	\$ 37,500.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 37,500.00	\$ -	\$ -	\$ -
017	Paving Mob	\$ 7,000.00	100.0%	\$ 7,000.00							100.0%	\$ 7,000.00	\$ -	\$ -	\$ -	\$ 350.00
018	Structural Metals Mob	\$ 7,500.00	100.0%	\$ 7,500.00							100.0%	\$ 7,500.00	\$ -	\$ -	\$ -	\$ 375.00
019	Structural Metals Submittals	\$ 10,000.00	100.0%	\$ 10,000.00							100.0%	\$ 10,000.00	\$ -	\$ -	\$ -	\$ 500.00
020	HVAC Mobilization	\$ 5,000.00	0.0%	\$ -	50.0%	\$ 2,500.00					50.0%	\$ 2,500.00	\$ 2,500.00	\$ 125.00	\$ 2,375.00	\$ 125.00
021	HVAC Submittals	\$ 5,000.00	52.5%	\$ 2,625.00	0.0%	\$ -					52.5%	\$ 2,625.00	\$ 2,375.00	\$ -	\$ -	\$ 131.25
Force Main																
022	Erosion Control	\$ 85,836.00	100.0%	\$ 85,836.00							100.0%	\$ 85,836.00	\$ -	\$ -	\$ -	\$ 4,291.80
023	Clearing	\$ 10,350.00	100.0%	\$ 10,350.00							100.0%	\$ 10,350.00	\$ -	\$ -	\$ -	\$ 517.50
024	Trench Cut-Off & Thrust Walls	\$ 90,750.00	100.0%	\$ 90,750.00							100.0%	\$ 90,750.00	\$ -	\$ -	\$ -	\$ 4,537.50
025	Signs	\$ 6,000.00	100.0%	\$ 6,000.00							100.0%	\$ 6,000.00	\$ -	\$ -	\$ -	\$ 300.00
026	Force Main Piping Materials	\$ 244,409.00	100.0%	\$ 244,409.00							100.0%	\$ 244,409.00	\$ -	\$ -	\$ -	\$ 12,220.45
027	Laterals	\$ 44,185.00	100.0%	\$ 44,185.00							100.0%	\$ 44,185.00	\$ -	\$ -	\$ -	\$ 2,209.25
028	HDPE Welding	\$ 44,600.00	100.0%	\$ 44,600.00							100.0%	\$ 44,600.00	\$ -	\$ -	\$ -	\$ 2,230.00
029	Beaver Road Piping Install	\$ 555,428.00	100.0%	\$ 555,428.00							100.0%	\$ 555,428.00	\$ -	\$ -	\$ -	\$ 27,771.40
030	Private Property Piping Install	\$ 179,295.00	100.0%	\$ 179,295.00							100.0%	\$ 179,295.00	\$ -	\$ -	\$ -	\$ 8,964.75
031	Kona Street Piping Install	\$ 250,105.00	100.0%	\$ 250,105.00							100.0%	\$ 250,105.00	\$ -	\$ -	\$ -	\$ 12,505.25
032	North Beaver Creek Piping Install	\$ 38,462.00	100.0%	\$ 38,462.00							100.0%	\$ 38,462.00	\$ -	\$ -	\$ -	\$ 1,923.10
033	Blow-Off Piping	\$ 31,694.00	100.0%	\$ 31,694.00							100.0%	\$ 31,694.00	\$ -	\$ -	\$ -	\$ 1,584.70
034	Blow-Offs	\$ 29,540.00	100.0%	\$ 29,540.00							100.0%	\$ 29,540.00	\$ -	\$ -	\$ -	\$ 1,477.00
035	Testing	\$ 6,600.00	100.0%	\$ 6,600.00							100.0%	\$ 6,600.00	\$ -	\$ -	\$ -	\$ 330.00
036	Bridge Crossing Piping	\$ 48,103.00	100.0%	\$ 48,103.00							100.0%	\$ 48,103.00	\$ -	\$ -	\$ -	\$ 2,405.15
037	Bridge Crossing Piping Installed	\$ 20,000.00	99.0%	\$ 19,800.00	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	99.0%	\$ 19,800.00	\$ 200.00	\$ -	\$ -	\$ 990.00
038	Pavement Grinding	\$ 13,030.00	100.0%	\$ 13,030.00							100.0%	\$ 13,030.00	\$ -	\$ -	\$ -	\$ 651.50
039	AC Plug & Prep	\$ 243,770.00	100.0%	\$ 243,770.00							100.0%	\$ 243,770.00	\$ -	\$ -	\$ -	\$ 12,188.50
040	Overlay	\$ 138,000.00	100.0%	\$ 138,000.00							100.0%	\$ 138,000.00	\$ -	\$ -	\$ -	\$ 6,900.00
041	Paving	\$ 70,460.00	100.0%	\$ 70,460.00							100.0%	\$ 70,460.00	\$ -	\$ -	\$ -	\$ 3,523.00
Treatment Building Site																
042	Erosion Control	\$ 12,000.00	100.0%	\$ 12,000.00							100.0%	\$ 12,000.00	\$ -	\$ -	\$ -	\$ 600.00
043	Site Work	\$ 95,625.00	91.4%	\$ 87,375.00	8.6%	\$ 8,250.00	\$ -	\$ -	\$ -	\$ -	100.0%	\$ 95,625.00	\$ -	\$ 412.50	\$ 7,837.50	\$ 4,781.25
044	Clearing & Grubbing	\$ 25,000.00	100.0%	\$ 25,000.00							100.0%	\$ 25,000.00	\$ -	\$ -	\$ -	\$ 1,250.00
045	Excavation	\$ 12,000.00	100.0%	\$ 12,000.00							100.0%	\$ 12,000.00	\$ -	\$ -	\$ -	\$ 600.00
046	Finish Grading	\$ 20,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 20,000.00	\$ -	\$ -	\$ -
047	East Retaining Wall	\$ 4,750.00	100.0%	\$ 4,750.00	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	100.0%	\$ 4,750.00	\$ -	\$ -	\$ -	\$ 237.50
048	West Retaining Wall	\$ 14,870.00	70.6%	\$ 10,500.00	0.0%	\$ -	\$ 3,370.00	\$ -	\$ 3,370.00	\$ -	70.6%	\$ 13,870.00	\$ 1,000.00	\$ -	\$ -	\$ 693.50
049	Site Piping	\$ 88,747.00	89.9%	\$ 79,779.18	7.9%	\$ 6,967.82	\$ -	\$ -	\$ -	\$ -	97.7%	\$ 86,747.00	\$ 2,000.00	\$ 348.39	\$ 6,619.43	\$ 4,337.35
050	Site Piping Installed	\$ 111,152.00	90.0%	\$ 100,000.00	10.0%	\$ 11,152.00	\$ -	\$ -	\$ -	\$ -	100.0%	\$ 111,152.00	\$ -	\$ 557.60	\$ 10,594.40	\$ 5,557.60
051	Pipe & Fittings Installed	\$ 33,492.00	100.0%	\$ 33,492.00							100.0%	\$ 33,492.00	\$ -	\$ -	\$ -	\$ 1,674.60
052	Site Concrete	\$ 17,535.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 17,535.00	\$ -	\$ -	\$ -
053	Fencing	\$ 82,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 82,000.00	\$ -	\$ -	\$ -
054	Bollards	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -

Line Item	DESCRIPTION A	ORIGINAL ESTIMATE	PREVIOUS WORK COMPLETED		THIS MONTH WORK COMPLETED								TOTAL VALUE REMAINING G (B-F)	PAYMENT/RETAINAGE		
		B	C		D		E			F				Retainage This Month	Payment This Month	Total Retainage
		LUMP SUM PRICES	Previous %	Previous Value	% This Month	This Month Value	This Month's Materials Added	Previous Stored Materials	Installed Materials	Balance of Materials Stored	Total %	Total Value				
055	Automated Entry Gate	\$ 19,500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ -	\$ 19,500.00	\$ -	\$ -	\$ -
056	Membrane Site Electrical	\$ 25,466.00	30.0%	\$ 7,641.00	5.0%	\$ 1,274.00	\$ -	\$ -	\$ -	35.0%	\$ 8,915.00	\$ -	\$ 16,551.00	\$ 63.70	\$ 1,210.30	\$ 445.75
057	Overall Site (Underground fiber) Electric	\$ 165,114.00	89.2%	\$ 147,346.80	0.0%	\$ -	\$ -	\$ -	\$ -	89.2%	\$ 147,346.80	\$ -	\$ 17,767.20	\$ -	\$ -	\$ 7,367.34
058	FRP Brine Tank	\$ 65,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ -	\$ 65,000.00	\$ -	\$ -	\$ -
059	FRP Brine Tank Install	\$ 5,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ -	\$ 5,000.00	\$ -	\$ -	\$ -
Membrane Building																
060	Excavation	\$ 15,000.00	100.0%	\$ 15,000.00						100.0%	\$ 15,000.00	\$ -	\$ -	\$ -	\$ -	\$ 750.00
061	Pipe Encasement	\$ 8,000.00	100.0%	\$ 8,000.00						100.0%	\$ 8,000.00	\$ -	\$ -	\$ -	\$ -	\$ 400.00
062	Concrete Work	\$ 103,140.00	100.0%	\$ 103,140.00						100.0%	\$ 103,140.00	\$ -	\$ -	\$ -	\$ -	\$ 5,157.00
063	Waterproof Below Grade Walls	\$ 5,000.00	100.0%	\$ 5,000.00						100.0%	\$ 5,000.00	\$ -	\$ -	\$ -	\$ -	\$ 250.00
064	Rebar	\$ 50,098.00	100.0%	\$ 50,098.00						100.0%	\$ 50,098.00	\$ -	\$ -	\$ -	\$ -	\$ 2,504.90
065	Rebar Install	\$ 19,200.00	100.0%	\$ 19,200.00						100.0%	\$ 19,200.00	\$ -	\$ -	\$ -	\$ -	\$ 960.00
066	Grating	\$ 7,164.00	48.9%	\$ 3,500.00	51.1%	\$ 3,664.00	\$ 3,664.00	\$ (3,664.00)	\$ -	100.0%	\$ 7,164.00	\$ -	\$ -	\$ -	\$ -	\$ 358.20
067	Masonry	\$ 206,749.51	87.5%	\$ 180,950.00	12.5%	\$ 25,799.51	\$ -	\$ -	\$ -	100.0%	\$ 206,749.51	\$ -	\$ -	\$ 1,289.98	\$ 24,509.53	\$ 10,337.48
068	Structural Metal Material & Fab	\$ 90,000.00	100.0%	\$ 90,000.00						100.0%	\$ 90,000.00	\$ -	\$ -	\$ -	\$ -	\$ 4,500.00
069	Structural Metals Installed	\$ 47,500.00	78.9%	\$ 37,500.00	21.1%	\$ 10,000.00	\$ -	\$ -	\$ -	100.0%	\$ 47,500.00	\$ -	\$ -	\$ 500.00	\$ 9,500.00	\$ 2,375.00
070	Metal Decking Material & Fab	\$ 20,500.00	100.0%	\$ 20,500.00						100.0%	\$ 20,500.00	\$ -	\$ -	\$ -	\$ -	\$ 1,025.00
071	Metal Decking Installed	\$ 17,000.00	0.0%	\$ -	100.0%	\$ 17,000.00	\$ -	\$ -	\$ -	100.0%	\$ 17,000.00	\$ -	\$ -	\$ 850.00	\$ 16,150.00	\$ 850.00
072	EPDM Roofing & Walkway Pads	\$ 42,650.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 42,650.00	\$ -	\$ -	\$ -	\$ -
073	Insulation	\$ 20,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 20,000.00	\$ -	\$ -	\$ -	\$ -
074	Gutters & Downspouts	\$ 8,000.00	0.0%	\$ -	50.0%	\$ 4,000.00	\$ -	\$ -	\$ -	50.0%	\$ 4,000.00	\$ 4,000.00	\$ 200.00	\$ 3,800.00	\$ 200.00	
075	Flashings & Trim	\$ 30,145.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 30,145.00	\$ -	\$ -	\$ -	\$ -
076	Metal Roofing Materials	\$ 38,757.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 38,757.00	\$ -	\$ -	\$ -	\$ -
077	Metal Roofing Installation	\$ 60,288.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 60,288.00	\$ -	\$ -	\$ -	\$ -
078	Roof Hatches	\$ 6,000.00	0.0%	\$ -	100.0%	\$ 6,000.00	\$ -	\$ 3,563.96	\$ (3,563.96)	100.0%	\$ 6,000.00	\$ -	\$ -	\$ 121.80	\$ 2,314.24	\$ 300.00
079	Access Ladders	\$ 4,500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 4,500.00	\$ -	\$ -	\$ -	\$ -
080	Doors	\$ 20,993.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 20,993.00	\$ -	20,993.00	\$ 20,993.00	\$ -	\$ -	\$ -	\$ -	\$ 1,049.65
081	Windows	\$ 8,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 8,000.00	\$ -	\$ -	\$ -	\$ -
082	Overhead Door - Storage Room	\$ 12,056.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 9,644.80	\$ -	9,644.80	\$ 9,644.80	\$ 2,411.20	\$ -	\$ -	\$ -	\$ 482.24
083	Overhead Door - Membrane Room	\$ 11,570.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 9,256.00	\$ -	9,256.00	\$ 9,256.00	\$ 2,314.00	\$ -	\$ -	\$ -	\$ 462.80
084	Spray Foam Insulation	\$ 10,000.00	0.0%	\$ -	40.0%	\$ 4,000.00	\$ -	\$ -	\$ -	40.0%	\$ 4,000.00	\$ 6,000.00	\$ 200.00	\$ 3,800.00	\$ 200.00	
085	Sheet Rock	\$ 28,480.00	0.0%	\$ -	17.6%	\$ 5,000.00	\$ -	\$ -	\$ -	17.6%	\$ 5,000.00	\$ 23,480.00	\$ 250.00	\$ 4,750.00	\$ 250.00	
086	Suspended Gypsum Board Ceiling	\$ 10,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 10,000.00	\$ -	\$ -	\$ -	\$ -
087	Painting	\$ 20,000.00	0.0%	\$ -	95.0%	\$ 19,000.00	\$ -	\$ -	\$ -	95.0%	\$ 19,000.00	\$ 1,000.00	\$ 950.00	\$ 18,050.00	\$ 950.00	
088	Flooring	\$ 10,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 10,000.00	\$ -	\$ -	\$ -	\$ -
089	Tile Work	\$ 8,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 8,000.00	\$ -	\$ -	\$ -	\$ -
090	Insulated Metal Panels	\$ 20,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 20,000.00	\$ -	\$ -	\$ -	\$ -
091	Translucent Panels	\$ 66,352.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 66,352.00	\$ -	\$ -	\$ -	\$ -
092	Casework	\$ 23,764.00	4.2%	\$ 1,000.00	0.0%	\$ -	\$ 10,000.00	\$ -	\$ 10,000.00	4.2%	\$ 11,000.00	\$ 12,764.00	\$ -	\$ -	\$ -	\$ 550.00
093	Lockers	\$ 1,265.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 1,265.00	\$ -	1,265.00	\$ 1,265.00	\$ -	\$ -	\$ -	\$ -	\$ 63.25
094	Locker Installation	\$ 500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 500.00	\$ -	\$ -	\$ -	\$ -
095	Miscellaneous Office Furniture	\$ 1,741.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 1,741.00	\$ -	\$ -	\$ -	\$ -
096	Miscellaneous Bath Fixtures	\$ 1,500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 1,500.00	\$ -	\$ -	\$ -	\$ -
097	Safety Equipment	\$ 1,800.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 1,800.00	\$ -	\$ -	\$ -	\$ -
098	Finish Carpentry Materials	\$ 15,000.00	0.0%	\$ -	66.7%	\$ 10,000.00	\$ -	\$ -	\$ -	66.7%	\$ 10,000.00	\$ 5,000.00	\$ 500.00	\$ 9,500.00	\$ 500.00	
099	Electrical & Controls	\$ 604,223.00	12.8%	\$ 77,607.29	2.2%	\$ 13,026.00	\$ -	\$ 164,081.81	\$ -	164,081.81	\$ 15.0%	\$ 254,715.10	\$ 349,507.90	\$ 651.30	\$ 12,374.70	\$ 12,735.76
100	Plumbing	\$ 174,700.00	3.2%	\$ 5,600.00	7.4%	\$ 13,000.00	\$ -	\$ 95,043.70	\$ -	95,043.70	\$ 10.6%	\$ 113,643.70	\$ 61,056.30	\$ 650.00	\$ 12,350.00	\$ 5,682.19
101	HVAC	\$ 93,785.00	0.0%	\$ -	18.5%	\$ 17,365.00	\$ -	\$ -	\$ -	18.5%	\$ 17,365.00	\$ 76,420.00	\$ 868.25	\$ 16,496.75	\$ 868.25	
102	Piping	\$ 384,847.00	0.0%	\$ -	0.0%	\$ -	\$ 36,422.28	\$ 223,876.89	\$ -	260,299.17	\$ 0.0%	\$ 260,299.17	\$ 124,547.83	\$ 1,821.11	\$ 34,601.17	\$ 13,014.96
103	Piping Installed	\$ 200,000.00	2.7%	\$ 5,314.93	0.0%	\$ -	\$ -	\$ -	\$ -	2.7%	\$ 5,314.93	\$ 194,685.07	\$ -	\$ -	\$ -	\$ 265.75
104	Pipe Supports	\$ 81,512.00	0.0%	\$ -	26.4%	\$ 21,550.00	\$ -	\$ -	\$ -	26.4%	\$ 21,550.00	\$ 59,962.00	\$ 1,077.50	\$ 20,472.50	\$ 1,077.50	
105	Pipe Supports Install	\$ 30,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 30,000.00	\$ -	\$ -	\$ -	\$ -
106	Mechanical Piping & Valves Installed	\$ 150,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 150,000.00	\$ -	\$ -	\$ -	\$ -
107	Finished Water Pumps	\$ 36,900.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 35,055.00	\$ -	35,055.00	\$ 0.0%	\$ 35,055.00	\$ 1,845.00	\$ -	\$ -	\$ 1,752.75
108	Finished Water Pumps Install	\$ 10,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 10,000.00	\$ -	\$ -	\$ -	\$ -
109	Inline Static Mixer	\$ 19,573.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 18,594.35	\$ -	18,594.35	\$ 0.0%	\$ 18,594.35	\$ 978.65	\$ -	\$ -	\$ 929.72
110	Inline Static Mixer Install	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 526.00	\$ -	526.00	\$ 0.0%	\$ 526.00	\$ 2,474.00	\$ -	\$ -	\$ 26.30
111	FRP Sodium Hypochlorite Tank	\$ 65,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 65,000.00	\$ -	\$ -	\$ -	\$ -
112	FRP Sodium Hypo Tank Install	\$ 5,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 5,000.00	\$ -	\$ -	\$ -	\$ -
113	On-Site Chlorine Generation System	\$ 100,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 100,000.00	\$ -	\$ -	\$ -	\$ -
114	On-Site Chlorine Gen System Install	\$ 8,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 8,000.00	\$ -	\$ -	\$ -	\$ -
115	Aluminum Chlor-Hydrate System	\$ 43,541.25	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 40,347.00	\$ -	40,347.00	\$ 0.0%	\$ 40,347.00	\$ 3,194.25	\$ -	\$ -	\$ 2,017.35
116	Aluminum Chlor-Hydrate System Install	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -	\$ -
117	Sodium Hypochlorite System	\$ 43,541.25	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 40,347.00	\$ -	40,347.00	\$ 0.0%	\$ 40,347.00	\$ 3,194.25	\$ -	\$ -	\$ 2,017.35

Line Item No.	DESCRIPTION A	ORIGINAL ESTIMATE	PREVIOUS WORK COMPLETED		THIS MONTH WORK COMPLETED								TOTAL VALUE REMAINING G (B-F)	PAYMENT/RETAINAGE		
		B	C		D		E			F				Retainage This Month	Payment This Month	Total Retainage
		LUMP SUM PRICES	Previous %	Previous Value	% This Month	This Month Value	This Month's Materials Added	Previous Stored Materials	Installed Materials	Balance of Materials Stored	Total %	Total Value				
118	Sodium Hypochlorite System Install	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -
119	Sodium Hydroxide (Finished)	\$ 29,027.50	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 26,898.00	\$ -	\$ -	0.0%	\$ 26,898.00	\$ 2,129.50	\$ -	\$ -	\$ 1,344.90
120	Sodium Hydroxide (Finished) Install	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -
121	Phosphoric Acid System	\$ 14,513.75	0.0%	\$ -	0.0%	\$ -	\$ -	\$ 13,445.00	\$ -	\$ -	0.0%	\$ 13,445.00	\$ 1,068.75	\$ -	\$ -	\$ 672.25
122	Phosphoric Acid System Install	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -
123	Chemical Feed Start-up & Training	\$ 6,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 6,000.00	\$ -	\$ -	\$ -
124	Seismic Controller	\$ 72,965.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 72,965.00	\$ -	\$ -	\$ -
125	Seismic Controller Install	\$ 5,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 5,000.00	\$ -	\$ -	\$ -
126	Backwash Supply Pumps Install	\$ 8,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 8,000.00	\$ -	\$ -	\$ -
127	UF Equipment Installation	\$ 10,000.00	0.0%	\$ -	0.0%	\$ -					0.0%	\$ -	\$ 10,000.00	\$ -	\$ -	\$ -
Clearwell Site																
128	Site Work/Excavation	\$ 25,000.00	100.0%	\$ 25,000.00							100.0%	\$ 25,000.00	\$ -	\$ -	\$ -	\$ 1,250.00
129	Backfill	\$ 15,000.00	100.0%	\$ 15,000.00							100.0%	\$ 15,000.00	\$ -	\$ -	\$ -	\$ 750.00
130	Finish Grading	\$ 5,000.00	100.0%	\$ 5,000.00							100.0%	\$ 5,000.00	\$ -	\$ -	\$ -	\$ 250.00
131	Concrete	\$ 10,000.00	100.0%	\$ 10,000.00							100.0%	\$ 10,000.00	\$ -	\$ -	\$ -	\$ 500.00
132	Rebar	\$ 1,702.00	100.0%	\$ 1,702.00							100.0%	\$ 1,702.00	\$ -	\$ -	\$ -	\$ 85.10
133	Rebar Install	\$ 5,000.00	100.0%	\$ 5,000.00							100.0%	\$ 5,000.00	\$ -	\$ -	\$ -	\$ 250.00
134	Install Pipe Encasements	\$ 1,200.00	100.0%	\$ 1,200.00							100.0%	\$ 1,200.00	\$ -	\$ -	\$ -	\$ 60.00
135	Clearwell 1 Pipe	\$ 29,398.00	100.0%	\$ 29,398.00							100.0%	\$ 29,398.00	\$ -	\$ -	\$ -	\$ 1,469.90
136	Clearwell 1 Pipe Installed	\$ 30,000.00	100.0%	\$ 30,000.00							100.0%	\$ 30,000.00	\$ -	\$ -	\$ -	\$ 1,500.00
137	Clearwell 2 Pipe										0.0%	\$ -	\$ -	\$ -	\$ -	\$ -
138	Clearwell 2 Pipe Installed										0.0%	\$ -	\$ -	\$ -	\$ -	\$ -
139	Clearwell Tank	\$ 431,700.00	100.0%	\$ 431,700.00							100.0%	\$ 431,700.00	\$ -	\$ -	\$ -	\$ 21,585.00
140	Clearwell Tank Coatings	\$ 180,000.00	63.3%	\$ 114,000.00	36.1%	\$ 65,000.00	\$ -	\$ -	\$ -		99.4%	\$ 179,000.00	\$ 1,000.00	\$ 3,250.00	\$ 61,750.00	\$ 8,950.00
141	Cathodic Protection	\$ 6,000.00	100.0%	\$ 6,000.00							100.0%	\$ 6,000.00	\$ -	\$ -	\$ -	\$ 300.00
142	Tank Chlorinating	\$ 5,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -		0.0%	\$ -	\$ 5,000.00	\$ -	\$ -	\$ -
Backwash Basin																
143	Excavation	\$ 20,000.00	100.0%	\$ 20,000.00							100.0%	\$ 20,000.00	\$ -	\$ -	\$ -	\$ 1,000.00
144	Backfill	\$ 20,000.00	0.0%	\$ -	100.0%	\$ 20,000.00	\$ -	\$ -	\$ -		100.0%	\$ 20,000.00	\$ -	\$ 1,000.00	\$ 19,000.00	\$ 1,000.00
145	Concrete	\$ 170,000.00	95.9%	\$ 163,000.00	4.1%	\$ 7,000.00	\$ -	\$ -	\$ -		100.0%	\$ 170,000.00	\$ -	\$ 350.00	\$ 6,650.00	\$ 8,500.00
146	Rebar	\$ 90,182.00	100.0%	\$ 90,182.00							100.0%	\$ 90,182.00	\$ -	\$ -	\$ -	\$ 4,509.10
147	Rebar Install	\$ 34,440.00	94.4%	\$ 32,500.00	5.6%	\$ 1,940.00	\$ -	\$ -	\$ -		100.0%	\$ 34,440.00	\$ -	\$ 97.00	\$ 1,843.00	\$ 1,722.00
148	Pipe	\$ 22,264.00	44.9%	\$ 10,000.00	55.1%	\$ 12,264.00	\$ -	\$ -	\$ -		100.0%	\$ 22,264.00	\$ -	\$ 613.20	\$ 11,650.80	\$ 1,113.20
149	Pipe Installed	\$ 12,000.00	16.7%	\$ 2,000.00	83.3%	\$ 10,000.00	\$ -	\$ -	\$ -		100.0%	\$ 12,000.00	\$ -	\$ 500.00	\$ 9,500.00	\$ 600.00
150	Cathodic Protection	\$ 2,000.00	0.0%	\$ -	100.0%	\$ 2,000.00	\$ -	\$ -	\$ -		100.0%	\$ 2,000.00	\$ -	\$ 100.00	\$ 1,900.00	\$ 100.00
151	Sidewalk Door	\$ 5,000.00	0.0%	\$ -	100.0%	\$ 5,000.00	\$ -	\$ 4,713.92	\$ (4,713.92)		100.0%	\$ 5,000.00	\$ -	\$ 14.30	\$ 271.78	\$ 250.00
152	Fencing	\$ 7,500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -		0.0%	\$ -	\$ 7,500.00	\$ -	\$ -	\$ -
153	Handrail	\$ 6,469.00	0.0%	\$ -	0.0%	\$ -	\$ 6,469.00	\$ -	\$ -		0.0%	\$ 6,469.00	\$ -	\$ -	\$ -	\$ 323.45
154	Paint	\$ 5,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -		0.0%	\$ -	\$ 5,000.00	\$ -	\$ -	\$ -
Intake Site																
155	Excavation	\$ 49,878.00	100.0%	\$ 49,878.00							100.0%	\$ 49,878.00	\$ -	\$ -	\$ -	\$ 2,493.90
156	Finish Grading	\$ 20,000.00	80.0%	\$ 16,000.00	0.0%	\$ -	\$ -	\$ -	\$ -		80.0%	\$ 16,000.00	\$ 4,000.00	\$ -	\$ -	\$ 800.00
157	Tree Removal	\$ 6,500.00	100.0%	\$ 6,500.00							100.0%	\$ 6,500.00	\$ -	\$ -	\$ -	\$ 325.00
158	Pre-Load	\$ 48,000.00	100.0%	\$ 48,000.00							100.0%	\$ 48,000.00	\$ -	\$ -	\$ -	\$ 2,400.00
159	Settlement Monitoring	\$ 5,000.00	100.0%	\$ 5,000.00							100.0%	\$ 5,000.00	\$ -	\$ -	\$ -	\$ 250.00
160	Wick Drains	\$ 64,000.00	100.0%	\$ 64,000.00							100.0%	\$ 64,000.00	\$ -	\$ -	\$ -	\$ 3,200.00
161	Erosion Control	\$ 30,000.00	100.0%	\$ 30,000.00							100.0%	\$ 30,000.00	\$ -	\$ -	\$ -	\$ 1,500.00
162	RSS Fill	\$ 18,000.00	100.0%	\$ 18,000.00							100.0%	\$ 18,000.00	\$ -	\$ -	\$ -	\$ 900.00
163	FESL Placement	\$ 25,000.00	100.0%	\$ 25,000.00							100.0%	\$ 25,000.00	\$ -	\$ -	\$ -	\$ 1,250.00
164	Geogrid	\$ 39,690.00	100.0%	\$ 39,690.00							100.0%	\$ 39,690.00	\$ -	\$ -	\$ -	\$ 1,984.50
165	Stilling Well Manholes	\$ 3,074.00	100.0%	\$ 3,074.00							100.0%	\$ 3,074.00	\$ -	\$ -	\$ -	\$ 153.70
166	Vaults	\$ 16,951.00	100.0%	\$ 16,951.00							100.0%	\$ 16,951.00	\$ -	\$ -	\$ -	\$ 847.55
167	Landscaping	\$ 25,000.00	40.0%	\$ 10,000.00	0.0%	\$ -	\$ -	\$ -	\$ -		40.0%	\$ 10,000.00	\$ 15,000.00	\$ -	\$ -	\$ 500.00
168	Helical Piles	\$ 60,000.00	100.0%	\$ 60,000.00							100.0%	\$ 60,000.00	\$ -	\$ -	\$ -	\$ 3,000.00
169	Miscellaneous Concrete	\$ 13,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -		0.0%	\$ -	\$ 13,000.00	\$ -	\$ -	\$ -
170	Site Piping	\$ 16,779.00	100.0%	\$ 16,779.00							100.0%	\$ 16,779.00	\$ -	\$ -	\$ -	\$ 838.95
171	Site Piping Install	\$ 20,299.00	100.0%	\$ 20,299.00							100.0%	\$ 20,299.00	\$ -	\$ -	\$ -	\$ 1,014.95
172	Fencing	\$ 7,500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -		0.0%	\$ -	\$ 7,500.00	\$ -	\$ -	\$ -
173	Electrical	\$ 8,582.00	100.0%	\$ 8,582.00							100.0%	\$ 8,582.00	\$ -	\$ -	\$ -	\$ 429.10
Intake Building																
174	Concrete	\$ 12,000.00	100.0%	\$ 12,000.00							100.0%	\$ 12,000.00	\$ -	\$ -	\$ -	\$ 600.00
175	Rebar	\$ 4,002.00	100.0%	\$ 4,002.00							100.0%	\$ 4,002.00	\$ -	\$ -	\$ -	\$ 200.10
176	Rebar Install	\$ 1,520.00	100.0%	\$ 1,520.00							100.0%	\$ 1,520.00	\$ -	\$ -	\$ -	\$ 76.00

Line Item No.	DESCRIPTION A	ORIGINAL ESTIMATE	PREVIOUS WORK COMPLETED		THIS MONTH WORK COMPLETED							TOTAL VALUE REMAINING G (B-F)	PAYMENT/RETAINAGE		
		B	C		D		E			F			Retainage This Month	Payment This Month	Total Retainage
		LUMP SUM PRICES	Previous %	Previous Value	% This Month	This Month Value	This Month's Materials Added	Previous Stored Materials	Installed Materials	Balance of Materials Stored	Total %				
177	Equipment Pads	\$ 800.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 800.00	\$ -	\$ -	\$ -
178	Intake Building Electrical	\$ 380,134.00	7.7%	\$ 29,273.73	0.0%	\$ -	\$ 160,793.27	\$ -	\$ 160,793.27	7.7%	\$ 190,067.00	\$ 190,067.00	\$ -	\$ -	\$ 9,503.35
179	Instrumentation & Controls	\$ 162,915.00	5.0%	\$ 8,146.00	0.0%	\$ -	\$ -	\$ -	\$ -	5.0%	\$ 8,146.00	\$ 154,769.00	\$ -	\$ -	\$ 407.30
180	Masonry	\$ 38,745.49	0.0%	\$ -	100.0%	\$ 38,745.49	\$ -	\$ -	\$ -	100.0%	\$ 38,745.49	\$ -	\$ 1,937.27	\$ 36,808.22	\$ 1,937.27
181	Metal Canopy	\$ 7,900.00	0.0%	\$ -	100.0%	\$ 7,900.00	\$ -	\$ -	\$ -	100.0%	\$ 7,900.00	\$ -	\$ 395.00	\$ 7,505.00	\$ 395.00
182	Metal Canopy Installation	\$ 5,500.00	0.0%	\$ -	100.0%	\$ 5,500.00	\$ -	\$ -	\$ -	100.0%	\$ 5,500.00	\$ -	\$ 275.00	\$ 5,225.00	\$ 275.00
183	Structural Metal Material & Fab	\$ 10,000.00	100.0%	\$ 10,000.00						100.0%	\$ 10,000.00	\$ -	\$ -	\$ -	\$ 500.00
184	Structural Metals Installed	\$ 9,500.00	0.0%	\$ -	100.0%	\$ 9,500.00	\$ -	\$ -	\$ -	100.0%	\$ 9,500.00	\$ -	\$ 475.00	\$ 9,025.00	\$ 475.00
185	Metal Decking Material & Fab	\$ 5,000.00	100.0%	\$ 5,000.00						100.0%	\$ 5,000.00	\$ -	\$ -	\$ -	\$ 250.00
186	Metal Decking Installed	\$ 3,500.00	0.0%	\$ -	100.0%	\$ 3,500.00	\$ -	\$ -	\$ -	100.0%	\$ 3,500.00	\$ -	\$ 175.00	\$ 3,325.00	\$ 175.00
187	Sheet Metal Flashing & Trim	\$ 195,670.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 195,670.00	\$ -	\$ -	\$ -
188	EPDM Roofing & Walkway Pads	\$ 15,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 15,000.00	\$ -	\$ -	\$ -
189	Roof Hatches	\$ 6,000.00	0.0%	\$ -	100.0%	\$ 6,000.00	\$ 3,563.96	\$ (3,563.96)	\$ -	100.0%	\$ 6,000.00	\$ -	\$ 121.80	\$ 2,314.24	\$ 300.00
190	Roof Access Ladder	\$ 4,500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 4,500.00	\$ -	\$ -	\$ -
191	Gutters & Downspouts	\$ 3,000.00	0.0%	\$ -	50.0%	\$ 1,500.00	\$ -	\$ -	\$ -	50.0%	\$ 1,500.00	\$ 1,500.00	\$ 75.00	\$ 1,425.00	\$ 75.00
192	Building Plaque	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -
193	Doors	\$ 4,586.00	0.0%	\$ -	0.0%	\$ -	\$ 4,586.00	\$ -	\$ 4,586.00	0.0%	\$ 4,586.00	\$ -	\$ -	\$ -	\$ 229.30
194	Insulation	\$ 4,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 4,000.00	\$ -	\$ -	\$ -
195	Sound Absorbing Panels	\$ 5,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 5,000.00	\$ -	\$ -	\$ -
196	Painting Interior	\$ 8,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 8,000.00	\$ -	\$ -	\$ -
197	Plumbing	\$ 15,000.00	0.0%	\$ -	0.0%	\$ -	\$ 5,000.00	\$ -	\$ 5,000.00	0.0%	\$ 5,000.00	\$ 10,000.00	\$ -	\$ -	\$ 250.00
198	Piping Supply	\$ 6,000.00	0.0%	\$ -	0.0%	\$ -	\$ 2,710.47	\$ -	\$ 2,710.47	0.0%	\$ 2,710.47	\$ 3,289.53	\$ -	\$ -	\$ 135.52
199	Piping Installed	\$ 2,500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 2,500.00	\$ -	\$ -	\$ -
200	HVAC	\$ 27,215.00	0.0%	\$ -	9.9%	\$ 2,700.00	\$ -	\$ -	\$ -	9.9%	\$ 2,700.00	\$ 24,515.00	\$ 135.00	\$ 2,565.00	\$ 135.00
201	Cathodic Protection	\$ 3,000.00	100.0%	\$ 3,000.00						100.0%	\$ 3,000.00	\$ -	\$ -	\$ -	\$ 150.00
202	Sodium Permanganate	\$ 29,027.50	0.0%	\$ -	0.0%	\$ -	\$ 26,898.00	\$ -	\$ 26,898.00	0.0%	\$ 26,898.00	\$ 2,129.50	\$ -	\$ -	\$ 1,344.90
203	Sodium Permanganate Install	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -
204	Sodium Hydroxide System (Intake)	\$ 14,513.75	0.0%	\$ -	0.0%	\$ -	\$ 13,445.00	\$ -	\$ 13,445.00	0.0%	\$ 13,445.00	\$ 1,068.75	\$ -	\$ -	\$ 672.25
205	Sodium Hydroxide System (Intake) Install	\$ 3,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 3,000.00	\$ -	\$ -	\$ -
206	Chemical Feed Start-Up & Training	\$ 6,000.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 6,000.00	\$ -	\$ -	\$ -
Raw Water Intake Pump Station															
207	Concrete	\$ 14,669.00	100.0%	\$ 14,669.00						100.0%	\$ 14,669.00	\$ -	\$ -	\$ -	\$ 733.45
208	Rebar	\$ 6,587.00	100.0%	\$ 6,587.00						100.0%	\$ 6,587.00	\$ -	\$ -	\$ -	\$ 329.35
209	Rebar Install	\$ 2,520.00	100.0%	\$ 2,520.00						100.0%	\$ 2,520.00	\$ -	\$ -	\$ -	\$ 126.00
210	Dewatering & Cofferdam	\$ 85,000.00	100.0%	\$ 85,000.00						100.0%	\$ 85,000.00	\$ -	\$ -	\$ -	\$ 4,250.00
211	Piping	\$ 53,470.00	100.0%	\$ 53,470.00						100.0%	\$ 53,470.00	\$ -	\$ -	\$ -	\$ 2,673.50
212	Piping Installed	\$ 9,500.00	100.0%	\$ 9,500.00						100.0%	\$ 9,500.00	\$ -	\$ -	\$ -	\$ 475.00
213	Mechanical Installed	\$ 76,430.00	95.5%	\$ 73,000.00	0.0%	\$ -	\$ -	\$ -	\$ -	95.5%	\$ 73,000.00	\$ 3,430.00	\$ -	\$ -	\$ 3,650.00
214	Cathodic Protection	\$ 2,000.00	100.0%	\$ 2,000.00						100.0%	\$ 2,000.00	\$ -	\$ -	\$ -	\$ 100.00
215	Grating	\$ 3,975.00	0.0%	\$ -	0.0%	\$ -	\$ 3,312.00	\$ -	\$ 3,312.00	0.0%	\$ 3,312.00	\$ 663.00	\$ -	\$ -	\$ 165.60
216	Sidewalk Hatch	\$ 6,000.00	100.0%	\$ 6,000.00						100.0%	\$ 6,000.00	\$ -	\$ -	\$ -	\$ 300.00
217	Aluminum Hatch	\$ 18,500.00	100.0%	\$ 18,500.00						100.0%	\$ 18,500.00	\$ -	\$ -	\$ -	\$ 925.00
218	Fish Screening System	\$ 44,810.00	41.5%	\$ 18,578.15	0.0%	\$ -	\$ 26,231.85	\$ -	\$ 26,231.85	41.5%	\$ 44,810.00	\$ -	\$ -	\$ -	\$ 2,240.50
219	Fish Screening System Install	\$ 10,000.00	100.0%	\$ 10,000.00						100.0%	\$ 10,000.00	\$ -	\$ -	\$ -	\$ 500.00
220	Raw Water Intake Pumps	\$ 109,000.00	100.0%	\$ 109,000.00						100.0%	\$ 109,000.00	\$ -	\$ -	\$ -	\$ 5,450.00
221	Raw Water Intake Pumps Install	\$ 5,000.00	90.0%	\$ 4,500.00	0.0%	\$ -	\$ -	\$ -	\$ -	90.0%	\$ 4,500.00	\$ 500.00	\$ -	\$ -	\$ 225.00
222	Pump Cans	\$ 30,000.00	100.0%	\$ 30,000.00						100.0%	\$ 30,000.00	\$ -	\$ -	\$ -	\$ 1,500.00
223	Paint	\$ 4,500.00	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ 4,500.00	\$ -	\$ -	\$ -
123rd Pump Station (Lost Creek)															
224	Concrete Pad	\$ 3,000.00	100.0%	\$ 3,000.00						100.0%	\$ 3,000.00	\$ -	\$ -	\$ -	\$ 150.00
225	Electrical and Controls	\$ 8,936.00	30.0%	\$ 2,682.00	0.0%	\$ -	\$ -	\$ -	\$ -	30.0%	\$ 2,682.00	\$ 6,254.00	\$ -	\$ -	\$ 134.10
226	Booster Pump Station	\$ 123,924.00	100.0%	\$ 123,924.00						100.0%	\$ 123,924.00	\$ -	\$ -	\$ -	\$ 6,196.20
227	Piping	\$ 15,794.00	45.5%	\$ 7,181.00	44.3%	\$ 7,000.00	\$ -	\$ -	\$ -	89.8%	\$ 14,181.00	\$ 1,613.00	\$ 350.00	\$ 6,650.00	\$ 709.05
228	Piping Installed	\$ 6,000.00	50.0%	\$ 3,000.00	41.7%	\$ 2,500.00	\$ -	\$ -	\$ -	91.7%	\$ 5,500.00	\$ 500.00	\$ 125.00	\$ 2,375.00	\$ 275.00
98th PRV															
229	Electrical and Controls	\$ 8,630.00	30.0%	\$ 2,589.00	0.0%	\$ -	\$ -	\$ -	\$ -	30.0%	\$ 2,589.00	\$ 6,041.00	\$ -	\$ -	\$ 129.45
230	Piping	\$ 11,398.00	100.0%	\$ 11,398.00						100.0%	\$ 11,398.00	\$ -	\$ -	\$ -	\$ 569.90
231	Piping Installed	\$ 4,500.00	0.0%	\$ -	100.0%	\$ 4,500.00	\$ -	\$ -	\$ -	100.0%	\$ 4,500.00	\$ -	\$ 225.00	\$ 4,275.00	\$ 225.00
Original Contract Subtotals		\$ 10,690,000.00		\$ 6,268,572.08		\$ 416,597.82	\$ 36,422.28	\$ 977,694.98	\$ (15,505.84)	\$ 998,611.42	\$ 7,683,781.32	\$ 3,006,218.68	\$ 21,775.71	\$ 415,638.55	\$ 384,189.07
Change Orders															
CO 001 Contract Time Change - NO Cost		\$ -													
CO 002 Fish Salvage Operations		\$ 3,372.86	100.0%	\$ 3,372.86						100.0%	\$ 3,372.86	\$ -	\$ -	\$ -	\$ 168.64

Line Item No.	DESCRIPTION A	ORIGINAL ESTIMATE B	PREVIOUS WORK COMPLETED C		THIS MONTH WORK COMPLETED D							TOTAL VALUE REMAINING G (B-F)	PAYMENT/RETAINAGE			
		LUMP SUM PRICES	Previous %	Previous Value	% This Month	This Month Value	This Month's Materials Added	Previous Stored Materials	Installed Materials	Balance of Materials Stored	Total %		Total Value	Retainage This Month	Payment This Month	Total Retainage
Change Orders																
CO 001	Contract Time Change - NO Cost	\$ -														
CO 002	Fish Salvage Operations	\$ 3,372.86	100.0%	\$ 3,372.86												
CO 003	CLSM Credit	\$ (60,524.00)	100.0%	\$ (60,524.00)												
CO 004	Helical Piles Install	\$ 9,199.49	100.0%	\$ 9,199.49												
CO 005	Bentonite Sub on Access Roads	\$ (6,849.36)	100.0%	\$ (6,849.36)												
CO 006	Credit for Water Services	\$ (4,972.47)	100.0%	\$ (4,972.47)												
CO 007	Contract Extension - No Cost															
CO 008	Backwash Basin	\$ 23,744.84	19.5%	\$ 4,629.21	16.8%	\$ 4,000.00	\$ -	\$ 10,135.46	\$ -	\$ 10,135.46	36.3%	\$ 18,764.67	\$ 4,980.17	\$ 200.00	\$ 3,800.00	\$ 938.23
		\$ -	0.0%	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -	0.0%	\$ -	\$ -	\$ -	\$ -	\$ -
ADJUSTED CONTRACT TOTALS		\$ 10,653,971.36		\$ 6,213,427.81		\$ 420,597.82	\$ 36,422.28	\$ 987,830.44	\$ (15,505.84)	\$ 1,008,746.88	72%	\$ 7,642,772.51	\$ 3,011,198.85	\$ 21,975.71	\$ 419,438.55	\$ 382,138.63

MONTHLY INVOICE SUMMARY

TOTAL WORK COMPLETED TO DATE, Line 5a	\$ 6,634,025.63
Materials On Hand	\$ 1,024,252.72
Installed Materials	\$ (15,505.84)
Materials - Installed = Line 5b	\$ 1,008,746.88
SUB TOTAL, Line 4	\$ 7,642,772.51
Retainage 5%, Line 5c	\$ 382,138.63
SUB TOTAL LESS RETAINAGE, Line 6	\$ 7,260,633.88
LESS: PREVIOUS PAYMENTS, Line 7	\$ 6,841,820.36
TOTAL PAYMENT DUE, Line 8	\$ 418,813.52



PO Box 190 – 1037 NW Grebe Street – Seal Rock, Oregon 97376
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Seal Rock Water District

General Manager's Report:
 Board Meeting September 9, 2021

This report is an executive summary provided with this Board agenda to Commissioners with recommended actions if any. Detailed information, staff reports, and supporting materials are provided within the full agenda packet.

PHASE-IV BEAVER CREEK SOURCE WATER PROJECT:

District staff and engineer along with funding partners from USDA-RD meet bi-weekly with R&G Excavating to discuss project activities, schedule, and status. Construction at the WTP site includes continued work to complete backwash basins and membrane building. Concrete masonry subcontractor has completed block wall construction of the membrane building and has completed construction of the electrical control building at the Beaver Creek intake site. Roofing trusses have been added to the construction of the membrane building and work continues to progress inside the building.

Major construction activities include:

· Backwash Basin / Membrane Building:

- Water tightness testing for south basin along with crack repair is complete.
- Contractor is backfilling around the backwash basin.
- Building interior has been painted
- Cement pads have been poured for treatment equipment



Completed Backwash Basin:



Interior Membrane Building:

Seal Rock Water District is an Equal Opportunity Service Provider and Employer.

Adam Denlinger, General Manager

adenlinger@srwd.org

www.srwd.org

- **Intake Site:**

- Contractor has completed construction of the electrical building
- Building interior has been painted.



Pipe gallery across Beaver Creek Bridge:



Intake Electrical Building:

- **123rd Pump Station and PRV:**

- Placement of 123rd packaged pump station has been complete.
- Piping and conduit install
- Hot tap of the existing FW line is completed.
- New PRV has been installed.



Interior view of the 123rd Street Pump Station:



123rd Street Pump Station:

Contractor continues to make steady progress in construction. Per the August design progress schedule, which does not meet contract dates, the approximate slippage that the Contractor is showing is an additional 87 days with the execution of change order 07. These dates can only be approved via change order.



Painted Clearwell:



Interior painting Membrane Building:

OTHER NOTABLE ACTIVITIES FOR THE MONTH INCLUDE:

- Attended on-site Beaver Creek water quality and flow monitoring field data collection.
- Reviewed several Requests for Information (RFI's) for the Beaver Creek Project.
- Attended meetings related to water treatment plant operational software.
- Conducted two interviews with applicants for the WD-1 Operator Position.
- Attended on-site meeting with engineers and PacWave representatives at the PacWave Energy Project.
- Attended SDAO Annual Conference Committee Meeting.
- Attended OWRD Board of Commissioners Meeting.
- Met with representatives coordinating this year's Oregon Infrastructure Summit to discuss presenting the district's Source Water project at this year's conference.
- Met with Board Member of the National Society of Professional Engineers to discuss presenting the District's Beaver Creek project at their next annual conference in May-2022
- Met with representative from the SRRFPD to discuss improved communication efforts.
- Attended orientation meet with newly appointed SRWD Board Member Paul Highfill.
- Worked with field crews to develop site for new Cluster Boxes.
- Met with developer and engineer to discuss design improvement for new residential development on Wenger Lane.
- Attended the Mid-Coast Water Conservation Consortium Meetings.
- Attended video conferences with engineers, contractors, and USDA to discuss submittals and schedule for work on the Beaver Creek Source water project.
- Hosted SRWD safety/staff meeting.

AUG 29 2021

GRIMSTAD & ASSOCIATES
Certified Public Accountants

August 27, 2021.....

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To the Board of Commissioners
Seal Rock Water District
Seal Rock, Oregon

I am pleased to confirm my acceptance and understanding of the services I am to provide Seal Rock Water District (District) for the year ended June 30, 2021. I will audit the financial statements of the business-type activities, including the related notes to the financial statements, which collectively comprise the basic financial statements of the District as of and for the year ended June 30, 2021. Accounting standards generally accepted in the United States of America provide for certain required supplementary information (RSI), such as management's discussion and analysis (MD&A), to supplement the District's basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. As part of my engagement, I will apply certain limited procedures to the District's RSI in accordance with auditing standards generally accepted in the United States of America. These limited procedures will consist of inquiries of management regarding the methods of preparing the information and comparing the information for consistency with management's responses to my inquiries, the basic financial statements, and other knowledge I obtained during my audit of the basic financial statements. I will not express an opinion or provide any assurance on the information because the limited procedures do not provide me with sufficient evidence to express an opinion or provide any assurance. The following RSI is required by generally accepted accounting principles and will be subjected to certain limited procedures, but will not be audited:

1. Management's Discussion and Analysis
2. Schedule of the District's Proportionate Share of the Net Pension Liability - Oregon Public Employee Retirement System
3. Schedule of the District's Contributions - Oregon Public Employee Retirement System
4. Notes to the Schedules of the District's Proportionate Share of the Net Pension Liability (Asset) and Contractually Required Contributions

I have also been engaged to report on supplementary information other than RSI that accompanies the District's financial statements. I will subject the following supplementary information to the auditing procedures applied in my audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America, and I will provide an opinion on it in relation to the financial statements as a whole, in a report combined with my auditor's report on the financial statements:

1. Schedule of Revenues, Expenditures and Changes in Fund Balance - Budget and Actual - All Individual Funds
2. Reconciliation of Revenues and Expenditures (Budgetary Basis) to the Statement of Revenues, Expenses and Changes in Net Position
3. Schedule of Expenditures of Federal Awards
4. Notes to Schedule of Expenditures of Federal Awards

Audit Objectives

The objective of my audit is the expression of opinions as to whether your financial statements are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles and to report on the fairness of the supplemental information referred to in the second paragraph when considered in relation to the financial statements as a whole. The objective also includes reporting on:

Members:
AICPA OSCP & OAIA

- Internal control over financial reporting and compliance with laws, regulations, contracts, and award agreements, noncompliance with which could have a material effect on the financial statements in accordance with *Government Auditing Standards*.
- Internal control over compliance related to major programs and an opinion (or disclaimer of opinion) on compliance with federal statutes, regulations, and the terms and conditions of federal awards that could have a direct and material effect on each major program in accordance with the Single Audit Act Amendments of 1996 and Title 2 U.S. *Code of Federal Regulations* (CRF) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance).

The *Government Auditing Standards* report on internal control over financial reporting and on compliance and other matters will include a paragraph that states that (1) the purpose of the report is solely to describe the scope of testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance, and (2) the report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. The Uniform Guidance report on internal control over compliance will include a paragraph that states that the purpose of the report on internal control over compliance is solely to describe the scope of testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Both reports will state that the report is not suitable for any other purpose.

In addition, my audit will include procedures to the extent considered necessary to address the comments and disclosures required by the Minimum Standards for Audits of Oregon Municipal Corporations, Oregon Administrative Rules 162-10-000 through 162-10-320, regarding the District's compliance with certain provisions of laws, regulations, contracts, and grants, including the provisions of Oregon Revised Statutes as specified in Oregon Administrative Rules 162-10-000 through 162-10-320, noncompliance with which could have a direct and material effect on the determination of financial statement amounts; and on the District's internal control over financial reporting. I will issue a written report, the Independent Auditor's Report Required By Oregon State Regulations, upon completion of my audit of the District's financial statements. My report will be addressed to the Board of Commissioners of the District. Providing opinions on the District's compliance and its internal control over financial reporting are not objectives of these procedures to be performed for purposes of this report and, accordingly, no such opinions will be expressed in this report.

My audit will be conducted in accordance with auditing standards generally accepted in the United States of America; the standards for financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; the Single Audit Act Amendments of 1996; and the provisions of the Uniform Guidance, and will include tests of the accounting records, a determination of major programs in accordance with the Uniform Guidance, and other procedures I consider necessary to enable me to express such opinions. I will issue written reports upon completion of my Single Audit. My reports will be addressed to the Board of Commissioners of the District. I cannot provide assurance that an unmodified opinion will be expressed. Circumstances may arise in which it is necessary for me to modify my opinion or add an emphasis-of-matter or other-matter paragraphs. If my opinion is other than unmodified, I will discuss the reasons with you in advance. If, for any reason, I am unable to complete the audit or am unable to form or have not formed an opinion, I may decline to express an opinion or to issue reports or I may withdraw from this engagement.

Audit Procedures - General

An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements; therefore, my audit will involve judgment about the number of transactions to be examined and the areas to be tested. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements. I will plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement, whether from (1) errors, (2) fraudulent financial reporting, (3) misappropriation of assets, or (4) violations of laws or governmental regulations that are attributable to the District or to acts by management or employees acting on behalf of the District. Because the determination of waste and abuse is subjective, *Government Auditing Standards* do not expect auditors to perform specific procedures to detect waste or abuse in financial audits nor do they expect auditors to provide reasonable assurance of detecting waste or abuse.

Because of the inherent limitations of an audit, combined with the inherent limitations of internal control, and because I will not perform a detailed examination of all transactions, an unavoidable risk exists that some material

misstatements or noncompliance may exist and not be detected by me, even though the audit is properly planned and performed in accordance with U.S. generally accepted auditing standards and *Government Auditing Standards*. In addition, an audit is not designed to detect immaterial misstatements or violations of laws or governmental regulations that do not have a direct and material effect on the financial statements or on major programs. However, I will inform the appropriate level of management of any material errors, any fraudulent financial reporting, or misappropriation of assets that comes to my attention. I will also inform the appropriate level of management of any violations of laws or governmental regulations that come to my attention, unless clearly inconsequential. I will include such matters in the reports required for a Single Audit. My responsibility as auditor is limited to the period covered by my audit and does not extend to any later periods for which I am not engaged as auditor.

My procedures will include tests of documentary evidence supporting the transactions recorded in the accounts, and may include tests of the physical existence of inventories, and direct confirmation of receivables and certain other assets and liabilities by correspondence with selected individuals, funding sources, creditors, and financial institutions. I will request written representation from your attorney as part of the engagement, and they may bill you for responding to this inquiry. At the conclusion of my audit, I will require certain written representations from you about your responsibility for the financial statements; schedule of expenditures of federal awards; federal award programs; compliance with laws, regulations, contracts, and grant agreements; and other responsibilities required by generally accepted auditing standards.

Audit Procedures - Internal Control

My audit will include obtaining an understanding of the District and its environment, including internal control, sufficient to assess the risks of material misstatement of the financial statements and to design the nature, timing, and extent of further audit procedures. Tests of controls may be performed to test the effectiveness of certain controls that I consider relevant to preventing and detecting errors and fraud that are material to the financial statements and to preventing and detecting misstatements resulting from illegal acts and other noncompliance matters that have a direct and material effect on the financial statements. My tests, if performed, will be less in scope than would be necessary to render an opinion on internal control and, accordingly, no opinion will be expressed in my report on internal control issued pursuant to *Government Auditing Standards*.

As required by the Uniform Guidance, I will perform tests of controls over compliance to evaluate the effectiveness of the design and operation of controls that I consider relevant to preventing or detecting material noncompliance with compliance requirements applicable to each major federal award program. However, my tests will be less in scope than would be necessary to render an opinion on those controls and, accordingly, no opinion will be expressed in my report on internal control issued pursuant to the Uniform Guidance.

An audit is not designed to provide assurance on internal control or to identify significant deficiencies or material weaknesses. Accordingly, I will express no such opinion. However, during the audit, I will communicate to management and those charged with governance internal control related matters that are required to be communicated under AICPA professional standards, *Government Auditing Standards*, and the Uniform Guidance.

Audit Procedures - Compliance

As part of obtaining reasonable assurance about whether the financial statements are free of material misstatement, I will perform tests of the District's compliance with provisions of applicable laws, regulations, contracts, and agreements, including grant agreements. However, the objective of those procedures will not be to provide an opinion on overall compliance and I will not express such an opinion in my report on compliance issued pursuant to *Government Auditing Standards*.

The Uniform Guidance requires that I also plan and perform the audit to obtain reasonable assurance about whether the auditee has complied with federal statutes, regulations, and the terms and conditions of federal awards applicable to major programs. My procedures will consist of tests of transactions and other applicable procedures described in the *OMB Compliance Supplement* for the types of compliance requirements that could have a direct and material effect on each of the District's major programs. For federal programs that are included in the Compliance Supplement, my compliance and internal control procedures will relate to the compliance requirements that the Compliance Supplement identifies as being subject to audit. The purpose of these procedures will be to express an opinion on the District's compliance with requirements applicable to each of its major programs in my report on compliance issued pursuant to the Uniform Guidance.

Other Services

I will also assist in preparing the financial statements, schedule of expenditures of federal awards, and related notes of the District in conformity with U.S. generally accepted accounting principles and the Uniform Guidance based on information provided by you. These nonaudit services do not constitute an audit under *Government Auditing Standards* and such services will not be conducted in accordance with *Government Auditing Standards*. I will perform the services in accordance with applicable professional standards. The other services are limited to the financial statements, schedule of expenditures of federal awards, and related notes services previously defined. I, in my sole professional judgment, reserve the right to refuse to perform any procedure or take any action that could be construed as assuming management responsibilities.

Management Responsibilities

Management is responsible for (1) designing, implementing, establishing, and maintaining effective internal controls relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error, including internal controls over federal awards, and for evaluating and monitoring ongoing activities to help ensure that appropriate goals and objectives are met; (2) following laws and regulations; (3) ensuring that there is reasonable assurance that government programs are administered in compliance with compliance requirements; and (4) ensuring that management and financial information is reliable and properly reported. Management is also responsible for implementing systems designed to achieve compliance with applicable laws, regulations, contracts, and grant agreements. You are also responsible for the selection and application of accounting principles; and for the preparation and fair presentation of the financial statements, schedule of expenditures of federal awards, and all accompanying information in conformity with U.S. generally accepted accounting principles; and for compliance with applicable laws and regulations (including federal statutes) and the provisions of contracts and grant agreements (including award agreements). Your responsibilities also include identifying significant contractor relationships in which the contractor has responsibility for program compliance and for the accuracy and completeness of that information.

Management is also responsible for making all financial records and related information available to me and for the accuracy and completeness of that information. You are also responsible for providing me with (1) access to all information of which you are aware that is relevant to the preparation and fair presentation of the financial statements, including identification of all related parties and all related-party relationships and transactions, (2) access to personnel, accounts, books, records, supporting documents, and other information as needed to perform an audit under the Uniform Guidance, (3) additional information that I may request for the purpose of the audit, and (4) unrestricted access to persons within the District from whom I determine it necessary to obtain audit evidence.

Your responsibilities include adjusting the financial statements to correct material misstatements and for confirming to me in the management representation letter that the effects of any uncorrected misstatements aggregated by me during the current engagement and pertaining to the latest period presented are immaterial, both individually and in the aggregate, to the financial statements as a whole.

You are responsible for the design and implementation of programs and controls to prevent and detect fraud, and for informing me about all known or suspected fraud affecting the District involving (1) management, (2) employees who have significant roles in internal control, and (3) others where the fraud could have a material effect on the financial statements. Your responsibilities include informing me of your knowledge of any allegations of fraud or suspected fraud affecting the District received in communications from employees, former employees, grantors, regulators, or others. In addition, you are responsible for identifying and ensuring that the District complies with applicable laws, regulations, contracts, agreements, and grants. Management is also responsible for taking timely and appropriate steps to remedy fraud and noncompliance with provisions of laws, regulations, contracts, and grant agreements that I report. Additionally, as required by the Uniform Guidance, it is management's responsibility to evaluate and monitor noncompliance with federal statutes, regulations, and the terms and conditions of federal awards; take prompt action when instances of noncompliance are identified including noncompliance identified in audit findings; promptly follow up and take corrective action on reported audit findings; and prepare a summary schedule of prior audit findings and a separate corrective action plan.

You are responsible for identifying all federal awards received and understanding and complying with the compliance requirements and for the preparation of the schedule of expenditures of federal awards (including notes and noncash assistance received) in conformity with the Uniform Guidance. You agree to include my report on the schedule of expenditures of federal awards in any document that contains and indicates that I have reported on the schedule of expenditures of federal awards. You also agree to include the audited financial statements with any presentation of the schedule of expenditures of federal awards that includes my report thereon. Your responsibilities include acknowledging to me in the written representation letter that (1) you are responsible for presentation of the schedule of expenditures of federal awards in accordance with the Uniform Guidance; (2) you believe the schedule of expenditures of federal awards, including its form and content, is stated fairly in accordance with the Uniform Guidance; (3) the methods of measurement or presentation have not changed from those used in the prior period (or, if they have changed, the reasons for such changes); and (4) you have disclosed to me any significant assumptions or interpretations underlying the measurement or presentation of the schedule of expenditures of federal awards.

You are also responsible for the preparation of the other supplementary information, which I have been engaged to report on, in conformity with U.S. generally accepted accounting principles. You agree to include my report on the supplementary information in any document that contains, and indicates that I have reported on, the supplementary information. You also agree to include the audited financial statements with any presentation of the supplementary information that includes my report thereon. Your responsibilities include acknowledging to me in the written representation letter that (1) you are responsible for presentation of the supplementary information in accordance with GAAP; (2) you believe the supplementary information, including its form and content, is fairly presented in accordance with GAAP; (3) the methods of measurement or presentation have not changed from those used in the prior period (or, if they have changed, the reasons for such changes); and (4) you have disclosed to me any significant assumptions or interpretations underlying the measurement or presentation of the supplementary information.

Management is responsible for establishing and maintaining a process for tracking the status of audit findings and recommendations. Management is also responsible for identifying and providing report copies of previous financial audits, attestation engagements, performance audits, or other studies related to the objectives discussed in the Audit Objectives section of this letter. This responsibility includes relaying to me corrective actions taken to address significant findings and recommendations resulting from those audits, attestation engagements, performance audits, or studies. You are also responsible for providing management's views on my current findings, conclusions, and recommendations, as well as your planned corrective actions, for the report, and for the timing and format for providing that information.

You agree to assume all management responsibilities relating to financial statements, schedule of expenditures of federal awards, and related notes, and any other nonaudit services I provide. You will be required to acknowledge in the management representation letter my assistance with preparation of the financial statements, schedule of expenditures of federal awards, and related notes and that you have reviewed and approved the financial statements, schedule of expenditures of federal awards, and related notes prior to their issuance and have accepted responsibility for them. Further, you agree to oversee the nonaudit services by designating an individual, preferably from senior management, with suitable skill, knowledge, or experience; evaluate the adequacy and results of the services; and accept responsibility for them.

Engagement Administration

I understand that your employees will prepare all cash, accounts receivable, and other confirmations I request and will locate any documents selected by me for testing.

At the conclusion of the engagement, I will complete the appropriate sections of the Data Collection Form that summarizes my audit findings. It is management's responsibility to electronically submit the reporting package (including financial statements, schedule of expenditures of federal awards, auditors' reports, and corrective action plan) along with the Data Collection Form to the federal audit clearinghouse. I will coordinate with you the electronic submission and certification. The Data Collection Form and the reporting package must be submitted within the earlier of 30 days after receipt of the auditors' reports or nine months after the end of the audit period.

I will provide copies of my reports to the District; however, management is responsible for distribution of the reports and the financial statements. Unless restricted by law or regulation, or containing privileged and confidential information, copies of my reports are to be made available for public inspection.

The audit documentation for this engagement is the property of Grimstad & Associates and constitutes confidential information. However, subject to applicable laws and regulations, audit documentation and appropriate individuals will be made available upon request and in a timely manner to an Oversight Agency or its designee, a federal agency providing direct or indirect funding, or the U.S. Government Accountability Office for purposes of a quality review of the audit, to resolve audit findings, or to carry out oversight responsibilities. I will notify you of any such request. If requested, access to such audit documentation will be provided under the supervision of Grimstad & Associates' personnel. Furthermore, upon request, I may provide copies of selected audit documentation to the aforementioned parties. These parties may intend, or decide, to distribute the copies or information contained therein to others, including other governmental agencies.

The audit documentation for this engagement will be retained for a minimum of five years after the report release date or for any additional period requested by the Oversight Agency. If I am aware that a federal awarding agency, pass-through organization, or auditee is contesting an audit finding, I will contact the party contesting the audit finding for guidance prior to destroying the audit documentation.

Signe Grimstad is the engagement partner and is responsible for supervising the engagement and signing the reports.

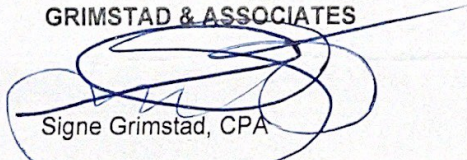
My fee for these services will be at my standard hourly rates plus out-of-pocket costs (such as report reproduction, word processing, postage, travel, copies, telephone, etc.) except that I agree that my gross fee, including expenses, will not exceed \$8,300 for the regular audit and the single audit will not exceed \$5,500. My standard hourly rates vary according to the degree of responsibility involved and the experience level of the personnel assigned to your audit. My invoices for these fees will be rendered each month as work progresses and are payable on presentation. In accordance with my firm policies, work may be suspended if your account becomes 30 days or more overdue and may not be resumed until your account is paid in full. If I elect to terminate my services for nonpayment, my engagement will be deemed to have been completed upon written notification of termination, even if I have not completed my reports. You will be obligated to compensate me for all time expended and to reimburse me for all out-of-pocket costs through the date of termination. The above fee is based on anticipated cooperation from your personnel and the assumption that unexpected circumstances will not be encountered during the audit. If significant additional time is necessary, I will discuss it with you and arrive at a new fee estimate before I incur the additional costs.

Government Auditing Standards require that I provide you with a copy of my most recent external peer review report and any letter of comment, and any subsequent peer review reports and letters of comment received during the period of the contract. My 2018 peer review report accompanies this letter.

I appreciate the opportunity to be of service to District and believe this letter accurately summarizes the significant terms of my engagement. If you have any questions, please let me know. If you agree with the terms of my engagement as described in this letter, please sign the enclosed copy and return it to me.

Very truly yours,

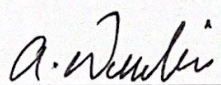
GRIMSTAD & ASSOCIATES



Signe Grimstad, CPA

RESPONSE:

This letter correctly sets forth the understanding of Seal Rock Water District.


Adam Denlinger, General Manager

Governance Signature

Title